

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD AND APPARATUS FOR DATA PROCESSING

the	specific	ation	of	whi	ch
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	is attached hereto.	
X	was filed on12/31/01	as
	United States Application Number 10/038742	
	or PCT International Application Number	
	and was amended on	
	(if applicable)	

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Rev. 3/1/00

Full Name of Sole/First Inventor Sushma Shrikant Frivedi
Inventor's Signature S. Jins. Date 4/15/02
Residence Sunnyvale, CA Citizenship USA (City, State) (Country)
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Full Name of Second/Joint Inventor Joseph P. Bratt
Inventor's Signature Date
Residence San Jose, CA Citizenship USA (City, State) (Country)
Post Office Address1045 Oaktree Drive, San Jose, CA95129
Full Name of Third/Joint Inventor
Inventor's Signature Date
Residence Cupertino, CA Citizenship JUSA (City, State) (Country)
Post Office Address 11661 Timber Spring Court, Cupertino, CA 95014
Full Name of Fourth/Joint Inventor Vaughn Todd Arnold
Inventor's Signature Date
Residence Scotts Valley, CA Citi Inship USA (Country)
Post Office Address 621 Canepa Drive, Scotts Valley, CA 95066
Full Name of Fifth/Joint Inventor <u>Derek Fujio Iwamoto</u>
Inventor's Signature Date
Residence San Jose, CA Citizenship USA (Country)
Post Office Address 883 Knollfield Way, San Jose, CA 95136

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William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; Peggy S. Avalos, Reg. No. 42,274; William Thomas Babbitt, Reg. No. 39,591; Jordan Michael Becker, Reg. No. 39,602; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Jae-Hee Choi, Reg No. 45,288; Thomas M. Coester, Reg. No. 39.637; Robert P. Cogan, Reg. No. 25,049; Donna Jo Coningsby, Reg. No. 41,684; Florin Corie, Reg. No. 46,244; Mimi Diemmy Dao, Reg. No. 45,628; Dennis M. deGuzman, Reg. No. 41,702; Stephen M. De Klerk, Reg. No. 46,503; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos. Reg. No. 37.813; Justin M. Dillon, Reg. No. 42,486; Sanjeet Dutta, Reg. No. 46,145; Matthew C. Fagan, Reg. No. 37.542; Tarek N. Fahmi, Reg. No. 41,402; Thomas S. Ferrill, Reg. No. 42,532; Mark J. Fink, Reg. No. 45,270; George Fountain, Reg. No. 37,374; Andre Gibbs, Reg. No. 47,593; James Y. Go, Reg. No. 40,621; Alan Heimlich, Reg. No. P48,808; James A. Henry, Reg. No. 41,064; Libby H. Ho, Reg. No. 46,774; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W. Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. No. 31,772; Sang Hui Kim, Reg. No. 40,450; Walter T. Kim, Reg. No. 42,731; Eric T. King, Reg. No. 44,188; Steve Laut, Reg. No. 47,736; George Brian Leavell, Reg. No. 45,436; Samual S. Lee, Reg. No. 42791; Gordon R. Lindeen III, Reg. No. 33,192; Jan Carol Little, Reg. No. 41,181; Julio Loza, Reg. No. 47,758; Joseph Lutz, Reg. No. 43,765; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, Reg. No. 48,095; Paul A. Mendonsa, Reg. No. 42,879; Clive D. Menezes, Reg. No. 45,493; Richard A. Nakashima, Reg. No. 42,023; Stephen Neal Rea. No. 47,815; Chun M. Ng, Req. No. 36,878; Thien T. Nguyen, Req. No. 43,835; Thinh V. Nguyen. Reg. No. 42,034; Robert B. O'Rourke, Reg. No. 46,972; Daniel E. Ovanezian, Reg. No. 41,236; Kenneth B. Paley, Reg. No. 38,989; Gregg A. Peacock, Reg. No. 45,001; Marina Portnova, Reg. No. 45,750; Michael A. Proksch, Reg. No. 43,021; Randol W. Read, Reg. No. 43,876; William F. Ryann, Reg. 44,313; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey S. Schubert, Reg. No. 43,098; George Simion, Reg. No. P47,089; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Ronald S. Tamura, Reg. No. 43,179; Edwin H. Taylor, Reg. No. 25,129; Lance A. Termes, Reg. No. 43,184; John F. Travis, Reg. No. 43,203; Kerry P. Tweet, Reg. No. 45,959; Mark C. Van Ness, Reg. No. 39,865; Tom Van Zandt, Reg. No. 43,219; Lester J. Vincent, Reg. No. 31,460; Archana B. Vittal, Reg. No. 45,182; Glenn E. Von Tersch, Reg. No. 41,364; John Patrick Ward, Flag. No. 40,216; Mark L. Watson. Reg. No. 46,322; Thomas C. Webster, Reg. No. 46,154; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Firasat Ali, Reg. No. 45,715; Charles P. Landrum, Reg. No. 46,855; Suk S. Lee. Reg. No. 47,745; and Raul Martinez, Reg. No. 46,904, Brent E. Vecchia, Reg. No. P48,011; Lehua Wang. Reg. No. P48,023; my patent agents, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800. and James R. Thein, Reg. No. 31,710, my patent attorney, with full power of substitution and revocation. to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith. I also hereby appoint Richard J. Lutton Jr., Reg. No. 39,756; Mark Aaker, Reg. No. 32,667; Richard Liu, Reg. No. 34,377; Helene Plotka Workman, Reg. No. 35,981; and Edward W. Scott, IV, Reg. No. 36,000; my attorneys; of APPLE COMPUTER, INC., located at 1 Infinite Loop, MS: 3-PAT, Cupertino. California 95014, telephone (408)974-9453, will full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Title 37, Code of Federal Regulations, Section 1.56 <u>Duty to Disclose Information Material to Patentability</u>

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclosure all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made or record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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	is attached hereto.	
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	United States Application Number 10/038742	
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I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Rev. 3/1/00 -1-

Prior Foreign Application(s)			Priorit <u>Claim</u>	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
I hereby claim the benefit ur provisional application(s) list		s Code, Section 119(e) of any	United S	tates
(Application Number)	Filing Date			
(Application Number)	Filing Date	<u> </u>		
known to me to be material	to patentability as defined available between the fili	wledge the duty to disclose all I in Title 37, Code of Federal F ng date of the prior application	Regulation	ns,
(Application Number)	Filing Date	(Status patented, pending,		 ed)
(Application Number)	Filing Date	(Status patented, pending,		ed)
part of this document) as my	y respective patent attorn to prosecute this applicat	reto (which is incorporated by eys and patent agents, with fu ion and to transact all busines	II power	of
Send correspondence to	James C. Scheller (Name of Attorney or Age	, BLAKELY, SOKOLO	OFF, TAY	LOR &
ZAFMAN LLP, 12400 Wilsh telephone calls to <u>James (</u>	ire Boulevard 7th Floor, L	os Angeles, California 90025	and direc	t

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Inventor's Signature	te 3/28/02		
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Inventor's Signature Date	te		
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Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

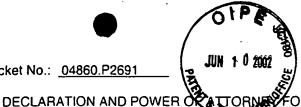
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 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

-5-







OR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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-1-Rev. 3/1/00

Prior Foreign Application(s)	!		Priority <u>Claimed</u>
(Number)	(Country)	(Day/Month/Year Filed)	Yes No
(Number)	(Country)	(Day/Month/Year Filed)	Yes No
(Number)	(Country)	(Day/Month/Year Filed)	Yes No
I hereby claim the benefit upprovisional application(s) lis		s Code, Section 119(e) of any	United States
(Application Number)	Filing Date		
(Application Number)	Filing Date		
known to me to be material	to patentability as defined available between the fili	vledge the duty to disclose all in Title 37, Code of Federal Fing date of the prior application	Regulations,
(Application Number)	Filing Date	(Status = patented, pending,	abandoned)
(Application Number)	Filing Date	(Status patented, pending,	abandoned)
part of this document) as my	y respective patent attorne to prosecute this applicati	reto (which is incorporated by eys and patent agents, with ful on and to transact all busines	ll power of
Send correspondence to	lames C. Scheller Name of Attorney or Age	, BLAKELY, SOKOLO	FF, TAYLOR &
ZAFMAN LLP, 12400 Wilshi telephone calls to <u>James (</u>	re Boulevard 7th Floor, Lo	os Angeles, California 90025 a	and direct

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Full Name of Sole/First Inventor Sushma Shrikant	rivedi
Inventor's Signature	Date
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Full Name of Second/Joint Inventor Joseph P. Bratt	
Inventor's Signature	Date
Residence San Jose, CA (City, State)	_ Citizenship <u>USA</u> (Country)
Post Office Address1045 Oaktree Drive, San Jose, 0	CA 95129
Full Name of Third/Joint Inventor Jack Benkual	· · · · · · · · · · · · · · · · · · ·
Inventor's Signature	Date 4/02/02
Inventor's Signature	Citizenship —USA (Country)
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Inventor's Signature	
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Full Name of Fifth/Joint Inventor <u>Derek Fujio Iwamoto</u>)
Inventor's Signature	Date
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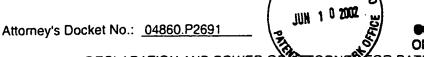
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Title 37, Code of Federal Regulations, Section 1.56 <u>Duty to Disclose Information Material to Patentability</u>

- (a) A patent by its very nature is affected with a public interest. The public interest is best served. and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclosure all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made or record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.



OPY OF PAPERS Patent
ORIGINALLY FILED
R PATENT APPLICATION

DECLARATION AND POWER OF

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD AND APPARATUS FOR DATA PROCESSING

the specification of which

	is attached hereto.	
X	was filed on 12/31/01	as
	United States Application Number	
	or PCT International Application Number	
	and was amended on	
	(if applicable)	

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) for six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Rev. 3/1/00 -1-

Prior Foreign Application(s)		·	Priority <u>Claimed</u>
(Number)	(Country)	(Day/Month/Year Filed)	Yes No
(Number)	(Country)	(Day/Month/Year Filed)	Yes No
(Number)	(Country)	(Day/Month/Year Filed)	Yes No
I hereby claim the benefit up provisional application(s) lis		s Code, Section 119(e) of any	United States
(Application Number)	Filing Date		
(Application Number)	Filing Date		
known to me to be material	to patentability as defined available between the filing	vledge the duty to disclose all in Title 37, Code of Federal Fing date of the prior application	Regulations,
(Application Number)	Filing Date	(Status = patented, pending,	
(Application Number)	Filing Date	(Status patented, pending,	abandoned)
part of this document) as my	y respective patent attorne to prosecute this applicati	reto (which is incorporated by eys and patent agents, with ful on and to transact all busines	ll power of
Send correspondence to	James C. Scheller (Name of Attorney or Age	, BLAKELY, SOKOLO	PFF, TAYLOR &
ZAFMAN LLP, 12400 Wilshi telephone calls to <u>James (</u>	ire Boulevard 7th Floor, Lo	os Angeles, California 90025 a	and direct

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Inventor's Signature	Date
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